


Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known Application Number: 09/854,187 Filing Date: May 10, 2001 First Named Inventor: Steven R. Kleiman Art Unit: 2163 Examiner Name: Le, Uyen T. Attorney Docket Number: 5693P287CCXXX	
Sheet	1	of	2		



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
UL		US-	5,278,838	1/11/1994	Ng et al.	
UL		US-	5,369,757	11/29/1994	Spiro et al.	
UL		US-	5,452,444	9/19/1995	Solomon et al.	
UL		US-	5,454,095	9/26/1995	Kraemer et al.	
UL		US-	5,907,672	5/25/1999	Matze et al.	
UL		US-	5,948,110	9/07/1999	Hitz et al.	
UL		US-	5,996,106	11/30/1999	Seyyed	
UL		US-	6,026,402	2/15/2000	Vossen et al.	
UL		US-	6,088,694	7/11/2000	Burns et al.	
UL		US-	2002/0049718 A1	4/25/2002	Kleiman et al.	
UL		US-	2002/0059172 A1	5/16/2002	Muhlestein	
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
UL		WO	00/68795	11-16-2000	Network Appliance, Inc.		
UL		WO	02/29573 A2	4-11-2002	Network Appliance, Inc.		
UL		WO	02/44862 A2	6-06-2002	Network Appliance, Inc.		
UL		WO	93/13475	7-08-1993	Compaq Computer Corporation		

Examiner Signature	/Uyen Le/	Date Considered	09/02/2006
--------------------	-----------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Substitute for Form 1449/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/854,187		
		Filing Date	May 10, 2001		
		First Named Inventor:	Steven R. Kleiman		
		Art Unit	2163		
		Examiner Name	Le, Uyen T.		
Sheet	2	of	2	Attorney Docket Number	5693P287CCXXX

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
UL		Gray et al. "Transaction Processing: Concepts and Techniques." 1993, Morgan Kaufmann, San Francisco, pp. 724-732.	
UL		Hansen, Per Brinch (editor), brochure: "RC 400 Software Multiprogramming System, Ch 4: Process Communication," A/s Regnecentralen, Copenhagen--April 1969. <i>9 pages</i>	
UL		Hutchinson et al. "Logical vs. Physical File Systems Backup." Third Symposium on Operating Systems Design and Implementation, New Orleans, LA, USA, Feb. 22-25, 1999, pp. 239-249.	
UL		Mulqueen, John T., "Product Analysis Review," Communications Week, Vol. 452, pp. 25, May 3, 1993. <i>3 pages</i>	
UL		Nelson, et al., "How and Why SCSI is Better than IPI for NFS," Auspex Systems, Inc. Technical Report 6, 2nd Edition, July 1992. <i>33 pages</i>	
UL		Seltzer, Margo, et al., "An Implementation of a Log-Structured File System for UNIX," 1993 Winter UNSENI, San Diego, CA. <i>20 pages</i>	
UL		Silberschatz, Abraham, et al., "Operating Concepts," Ch 3.7: Interprocess Communication, pp. 127-147, 1988 Addison-Wesley Publishing Company, Inc.	
UL		Sun Microsystems, "Network Programming Guide", Chapter 4, Remote Procedure Call Programming Guide, Revision A of March 27, 1990, pp. 65-128.	
UL		Tannebaum, Andrew S., "Computer Networks" (1988), 2nd Edition, Prentice Hall, pp. 35, 36, Chap. 9.	
UL		Unix System Laboratories, Inc., "Unix System V Release 4 Programmer's Guide: Streams, section 2: What is STREAMS?," AT & T Unix System Laboratories, Inc., Unix System V Release 4 Programmer's Guide: STREAMS. 1990 Prentice Hall. <i>27 pages</i>	

Examiner Signature	/Uyen Le/	Date Considered	09/02/2006
--------------------	-----------	-----------------	------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.